

Electronic Acknowledgement Receipt

EFS ID:	3045557
Application Number:	10077182
International Application Number:	
Confirmation Number:	9522
Title of Invention:	Balancing arbitrable tracking securities
First Named Inventor/Applicant Name:	Steven M. Bloom
Customer Number:	26161
Filer:	Denis G. Maloney/Marie Collins
Filer Authorized By:	Denis G. Maloney
Attorney Docket Number:	09857-092001
Receipt Date:	25-MAR-2008
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Application Type:	Utility under 35 USC 111(a)

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Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$120
RAM confirmation Number	7857
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File Listing:

Document Number	Document Description	File Name	File Size(Bytes) /Message Digest	Multi Part /.zip	Pages (if appl.)

1		reply09857-092001.pdf	1231849 d9e8594a7afcf7bf5ab2adff1ae496a7ee 099901	yes	23
Multipart Description/PDF files in .zip description					
Document Description		Start		End	
Amendment - After Non-Final Rejection		1		1	
Claims		2		7	
Applicant Arguments/Remarks Made in an Amendment		8		23	

Warnings:**Information:**

2	Extension of Time	ext09857-092001.pdf	27951 04c4b096cf0fe492571153495fb21063 a3c7c4	no	1
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Warnings:**Information:**

3	Fee Worksheet (PTO-06)	fee-info.pdf	8141 e0e62ce23a66153d553e9c27058cc2b63 b6677af6	no	2
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Warnings:**Information:**

Total Files Size (in bytes):		1267941
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New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.